



## TMX Group Equity Financing Statistics – November 2020

### *Toronto Stock Exchange, TSX Venture Exchange*

December 7, 2020 (TORONTO) – TMX Group today announced its financing activity on Toronto Stock Exchange (TSX) and TSX Venture Exchange (TSXV) for November 2020.

TSX welcomed 11 new issuers in November 2020, compared with 13 in the previous month and 16 in November 2019. The new listings were five exchange traded funds, two closed end funds, one life sciences company, one consumer products & services company, one oil & gas company and one clean technology company. Total financings raised in November 2020 increased 169% compared to the previous month, and was up 681% compared to November 2019. The total number of financings in November 2020 was 39, compared with 36 the previous month and 31 in November 2019.

For additional data relating to the number of transactions billed for TSX, please click on the following link: <https://www.tmx.com/resource/en/440>

TSXV welcomed four new issuers in November 2020, compared with eight in the previous month and four in November 2019. The new listings were two capital pool companies, one life sciences company and one mining company. Total financings raised in November 2020 decreased 36% compared to the previous month, but were up 81% compared to November 2019. There were 115 financings in November 2020, compared with 148 in the previous month and 83 in November 2019.

TMX Group consolidated trading statistics for November 2020 can be viewed at [www.tmx.com](http://www.tmx.com).

#### **Toronto Stock Exchange**

	<b>November 2020</b>	<b>October 2020</b>	<b>November 2019</b>
Issuers Listed	1,643	1,642	1,578
New Issuers Listed	11	13	16
IPOs	7	11	14
Graduates from TSXV	3	0	1
Issues Listed	2,296	2,297	2,231
IPO Financings Raised	\$117,959,988	\$1,129,032,736	\$126,750,000
Secondary Financings Raised	\$5,710,255,105	\$731,801,876	\$452,684,547
Supplemental Financings Raised	\$22,656,848	\$312,788,772	\$169,770,594
Total Financings Raised	\$5,850,871,941	\$2,173,623,384	\$749,205,141
Total Number of Financings	39	36	31
Market Cap Listed Issues	\$3,320,596,311,218	\$3,025,159,132,777	\$3,165,392,631,069

#### **Year-to-date Statistics**

	<b>2020</b>	<b>2019</b>	<b>% change</b>
New Issuers Listed	160	131	+22.1
IPOs	132	105	+25.7
Graduates from TSXV	18	21	-14.3
IPO Financings Raised	\$6,420,859,492	\$1,407,064,320	+356.3



Secondary Financings Raised	\$23,719,631,548	\$16,806,975,577	+41.1
Supplemental Financings Raised	\$2,173,591,738	\$5,426,526,446	-59.9
Total Financings Raised	\$32,314,082,778	\$23,640,566,343	+36.7
Total Number of Financings	480	459	+4.6
Market Cap Listed Issues	\$3,320,596,311,218	\$3,165,392,631,069	+4.9

#### **TSX Venture Exchange\*\***

	November 2020	October 2020	November 2019
Issuers Listed	1,900	1,909	1,933
New Issuers Listed	4	8	4
IPOs	2	5	2
Graduates to TSX	3	0	1
Issues Listed	1,986	1,991	2,021
IPO Financings Raised	\$680,000	\$101,649,161	\$520,000
Secondary Financings Raised (1)	\$178,438,865	\$64,139,541	\$31,251,750
Supplemental Financings Raised	\$226,992,108	\$469,042,950	\$192,290,131
Total Financings Raised	\$406,110,973	\$634,831,652	\$224,061,881
Total Number of Financings	115	148	83
Market Cap Listed Issues	\$66,919,901,885	\$60,608,643,140	\$41,953,150,194

#### **Year-to-date Statistics**

	2020	2019	% Change
New Issuers Listed	55	77	-28.6
IPOs	34	63	-46.0
Graduates to TSX	18	21	-14.3
IPO Financings Raised	\$200,975,641	\$53,491,709	+275.7
Secondary Financings Raised (1)	\$1,509,026,367	\$1,031,698,120	+46.3
Supplemental Financings Raised	\$3,994,864,713	\$2,759,633,902	+44.8
Total Financings Raised	\$5,704,866,721	\$3,844,823,731	+48.4
Total Number of Financings	1,540	1,226	+25.6
Market Cap Listed Issues	\$66,919,901,885	\$41,953,150,194	+59.5

**\*\*Includes NEX (not applicable to New Issuers Listed, IPOs and IPO Financings Raised)**

(1) Secondary financings include prospectus offerings on both a treasury and secondary basis

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TMX Group welcomes the following companies that listed during November 2020:

**Toronto Stock Exchange**

Issuer Name	Company Symbol
Antibe Therapeutics Inc.	ATE
GURU Organic Energy Corp.	GURU
i3 Energy plc	ITE
Manulife Smart Dividend ETF	CDIV
Manulife Smart Corporate Bond ETF	CBND
Manulife Smart U.S. Dividend ETF	UDIV
Manulife Smart Core Bond ETF	BSKT
Manulife Smart Short-Term Bond ETF	TERM
Northview Canadian High Yield Residential Fund	NHF.UN
PyroGenesis Canada Inc.	PYR
Real Estate & E-Commerce Split Corp.	RS

**TSX Venture Exchange**

Issuer Name	Company Symbol
Aim5 Ventures Inc.	AIME.P
High Mountain 2 Capital Corporation	HMCC.P
High Tide Inc.	HITI
Whitehorse Gold Corp.	WHG

**About TMX Group (TSX:X)**

TMX Group operates global markets, and builds digital communities and analytic solutions that facilitate the funding, growth and success of businesses, traders and investors. TMX Group's key operations include [Toronto Stock Exchange](#), [TSX Venture Exchange](#), [TSX Alpha Exchange](#), [The Canadian Depository for Securities](#), [Montréal Exchange](#), [Canadian Derivatives Clearing Corporation](#), and [Trayport](#) which provide listing markets, trading markets, clearing facilities, depository services, technology solutions, data products and other services to the global financial community. TMX Group is headquartered in Toronto and operates offices across North America (Montréal, Calgary, Vancouver and New York), as well as in key international markets including London and Singapore. For more information about TMX Group, visit our website at [www.tmx.com](http://www.tmx.com). Follow TMX Group on Twitter: [@TMXGroup](https://twitter.com/TMXGroup).

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