



TMX Group Equity Financing Statistics – October 2016

Toronto Stock Exchange, TSX Venture Exchange

November 8, 2016 (TORONTO) – TMX Group today announced its financing activity on Toronto Stock Exchange and TSX Venture Exchange for October 2016.

Toronto Stock Exchange welcomed 13 new issuers in October 2016, compared with 17 in the previous month and seven in October 2015. The new listings included nine exchange traded funds, two diversified industries companies, one closed-end fund and one closed-end trust. Total financings raised in October 2016 decreased 42% from the previous month and were up 26% compared to October 2015. The total number of financings in October 2016 was 46, compared with 49 in the previous month and 30 in October 2015.

For additional data relating to the number of transactions billed for Toronto Stock Exchange, please click on the following link: <http://www.tmx.com/resource/en/440>

TSX Venture Exchange welcomed five new issuers in October 2016, compared with two the previous month and two in October 2015. The new listings included a life sciences company, a Capital Pool Company, two mining companies and a real estate company. Total financings raised in October 2016 increased 14% compared to the previous month, and were up 151% compared to October 2015. There were 126 financings in October 2016, compared with 141 in the previous month and 95 in October 2015.

TMX Group consolidated trading statistics for October 2016 can be viewed at www.tmx.com.

Toronto Stock Exchange

	October 2016	September 2016	October 2015
Issuers Listed	1,491	1,489	1,505
New Issuers Listed	13	17	7
IPOs	12	14	6
Graduates from TSXV	1	0	1
Issues Listed	2,208	2,204	2,225
IPO Financings Raised	\$672,339,116	\$45,999,200	\$417,439,500
Secondary Financings Raised	\$921,315,609	\$2,738,263,797	\$871,750,815
Supplemental Financings Raised	\$1,117,916,770	\$1,882,375,000	\$860,600,092
Total Financings Raised	\$2,711,571,495	\$4,666,637,997	\$2,149,790,407
Total Number of Financings	46	49	30
Market Cap Listed Issues	\$2,631,106,454,566	\$2,626,487,321,752	\$2,355,448,571,138

Year-to-date Statistics

	2016	2015	% change
New Issuers Listed	103	103	n/c
IPOs	81	90	-10.0
Graduates from TSXV	13	9	+44.4
IPO Financings Raised	\$1,415,292,703	\$4,874,120,118	-71.0
Secondary Financings Raised	\$25,344,991,992	\$26,484,354,787*	-4.3
Supplemental Financings Raised	\$19,240,986,085	\$15,928,495,664	+20.8



Total Financings Raised	\$46,001,270,780	\$47,286,970,569*	-2.7
Total Number of Financings	520	489	+6.3
Market Cap Listed Issues	\$2,631,106,454,566	\$2,355,448,571,138	+11.7

TSX Venture Exchange

	October 2016	September 2016	October 2015
Issuers Listed	2,041	2,055	2,196
New Issuers Listed	5	2	2
IPOs	2	1	0
Graduates to TSX	1	0	1
Issues Listed	2,098	2,114	2,258
IPO Financings Raised	\$23,305,495	\$300,000	\$0
Secondary Financings Raised (1)	\$54,814,880	\$49,187,785	\$1,660,000
Supplemental Financings Raised	\$327,740,764	\$307,300,040	\$160,025,554
Total Financings Raised	\$405,861,139	\$356,787,825	\$161,685,554
Total Number of Financings	126	141	95
Market Cap Listed Issues	\$36,883,820,610	\$37,312,550,917	\$24,118,634,060

Year-to-date Statistics

	2016	2015	% Change
New Issuers Listed	42	36	+16.7
IPOs	17	17	n/c
Graduates to TSX	13	9	+44.4
IPO Financings Raised	\$32,911,855	\$43,383,476	-24.1
Secondary Financings Raised (1)	\$721,737,987	\$548,575,540	+31.6
Supplemental Financings Raised	\$2,880,054,910	\$2,311,493,666	+24.6
Total Financings Raised	\$3,634,704,752	\$2,903,452,682	+25.2
Total Number of Financings	1,381	1,072	+28.8
Market Cap Listed Issues	\$36,883,820,610	\$24,118,634,060	+52.9

**Private Placement Correction*

(1) Secondary financings include prospectus offerings on both a treasury and secondary basis

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TMX Group welcomes the following companies that listed during October 2016:

Toronto Stock Exchange

Issuer Name	Company Symbol
Aritzia Inc.	ATZ
Canadian Natural Gas Index ETF	GAS



First Asset Canadian Dividend Low Volatility Index ETF	FDL
First Asset U.S. Equity Multi-Factor Index ETF	FUM
First Asset U.S. Tactical Sector Allocation Index ETF	FUT
June 2020 Corporate Bond Trust	CBT.UN
Middlefield Healthcare & Wellness Dividend Fund Trust	HWF.UN
Park Lawn Corporation	PLC
Purpose Canadian Financial Income Fund ETF	BNC
Purpose Conservative Income Fund ETF Shares	PRP
Sphere FTSE Emerging Markets Sustainable Yield Index ETF	SHZ
Vanguard FTSE Developed Asia Pacific All Cap Index ETF (CAD-hedged)	VAH
Vanguard FTSE Developed Europe All Cap Index ETF (CAD-hedged)	VEH

TSX Venture Exchange

Issuer Name	Company Symbol
Aurora Cannabis Inc.	ACB
European Commercial Real Estate Limited	ERE.P
First Point Minerals Corp.	FPX
Isoenergy Ltd.	ISO
Starlight U.S. Multi-Family (no. 5) Core Fund	SUA.A/SUA.U

About TMX Group (TSX:X)

TMX Group's key subsidiaries operate cash and derivative markets and clearinghouses for multiple asset classes including equities, fixed income and energy. [Toronto Stock Exchange](#), [TSX Venture Exchange](#), [TSX Alpha Exchange](#), [The Canadian Depository for Securities](#), [Montréal Exchange](#), [Canadian Derivatives Clearing Corporation](#), [NGX](#), [Shorcan](#), [Shorcan Energy Brokers](#), [AgriClear](#) and other TMX Group companies provide listing markets, trading markets, clearing facilities, depository services, data products and other services to the global financial community. TMX Group is headquartered in Toronto and operates offices across Canada (Montréal, Calgary and Vancouver), in key U.S. markets (New York, Houston) as well as in London, Beijing, Singapore and Sydney. For more information about TMX Group, visit our website at <http://www.tmx.com>. Follow TMX Group on Twitter: [@TMXGroup](#).

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