



Supplier Code of Conduct

The TMX Supplier Code of Conduct ("Supplier Code") outlines the expectations and obligations of third party suppliers and service providers and their respective employees and representatives (each a "Supplier") while providing goods or services to TMX Group Limited and its subsidiaries, affiliates, officers, directors, employees and authorized representatives (collectively, "TMX").

TMX endeavours to source products and services from Suppliers who respect human rights, diversity, and the environment. Suppliers are expected to demonstrate a commitment to legal, ethical, safe, fair and environmentally responsible business practices, including having policies and/or procedures in place that are communicated throughout their organization and upheld by all levels of management.

Suppliers must comply with applicable laws and regulations in providing goods and services to TMX. This Supplier Code is subject to modification from time to time by TMX. In the event of any conflict between any provision of this Supplier Code and the provisions of a contract with TMX and the Supplier, the provisions of that contract will prevail.

Protection of Human Rights

Suppliers must maintain workplaces characterized by professionalism, respect for the dignity of every individual, and which prohibit all forms of discrimination and comply with internationally recognized human rights and labour standards. Suppliers should hire and promote based on merit and not discriminate against an applicant, employee or other service provider based



on race, ethnicity, national origin, religion, sex, gender, sexual orientation, gender identity, disability or other protected characteristic.

Prevention of Modern Slavery/Forced Labour

TMX stands firmly against all forms of modern day slavery and human trafficking and is committed to preventing the adverse impacts of human rights violations in its operations. Suppliers must protect against all forms of modern day slavery and human trafficking, in compliance with applicable laws. Suppliers will not use child labour, forced labour or any other type of modern slavery practice and will have reasonable measures in place to prevent modern day slavery in their own operations and by their vendors.

Protection of Health and Safety

Suppliers must provide a safe working environment that supports accident prevention and seeks to ensure the health and safety of all personnel and all others affected by their activities. Suppliers and their subcontractors are required to comply with all applicable health and safety laws and regulations in the provision of goods and services to TMX.

Conflicts of Interest

In their business relationship with TMX, Suppliers must not try to gain improper advantage or preferential treatment or impact TMX employees' ability to make sound, impartial and objective decisions on behalf of TMX.

Anti-bribery, Anti-corruption & Fair Business Practices

Suppliers must not engage in bribery or corruption and must have controls in place to mitigate such risk. Suppliers must not offer or accept a bribe or take unfair advantage of anyone through manipulation, concealment, abuse of privileged information, misrepresentation of facts, or other unfair or unlawful practice.

Anti-Money Laundering, Anti-Terrorism Financing and Sanctions

Suppliers must not directly or indirectly engage in any money laundering or terrorism financing activities and must comply with all applicable sanctions in this regard.

Environmental Sustainability

Suppliers must comply with all applicable environmental laws and regulations, and are encouraged to integrate environmental sustainability into their company policies and business practices, and to develop targets to reduce the environmental impacts of their operations, products and services.

Privacy, Confidentiality and Information Security

Suppliers must handle confidential, proprietary and personal information that is collected, used or disclosed by TMX in accordance with all applicable privacy, data protection and information security laws and regulations. Suppliers must take appropriate steps to safeguard TMX information in their possession, protecting it from unauthorized disclosure, misuse, loss or theft.